

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

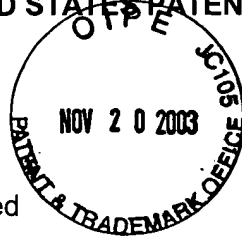
In re Patent Application of:

Jung-wan KO, et al.

Application No.: To be assigned

Filed: July 24, 2003

For: APPARATUS FOR RECORDING AND/OR PLAYING BACK CATALOG INFORMATION



10625735

Group Art Unit: To be assigned

Examiner: To be assigned

**LETTER TO THE EXAMINER REQUESTING STATUS OF FILING RECEIPT**

Mail Stop Application Number  
Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313-1450

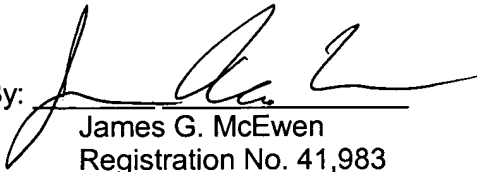
Sir:

Further to the filing of the instant application on July 24, 2003, there has been no indication as to the application serial number assigned to this application. It is respectfully requested that the application serial number be forwarded for the instant application as soon as possible

For the purposes of identification, enclosed is a copy of the Continuing (1.53(b)) Utility Patent Application Tmt., Fee Tmt. Form, Spec., Claims, Abstract (15 pp.), Drawings (FIGS. 1-4), copy of executed Combined Declaration/Power of Attorney, and an IDS w/Form PTO-1449 and Attachment 1(f). Also enclosed is evidence of receipt at the Office of Initial Patent Examination on July 24, 2003, and a copy of the cancelled check for \$768.00 which accompanied the application, as filed.

Respectfully submitted,

STAAS & HALSEY LLP

By:   
James G. McEwen  
Registration No. 41,983

1201 New York Avenue, NW, Suite 700  
Washington, D.C. 20005  
Telephone: (202) 434-1500  
Facsimile: (202) 434-1501  
Date: Nov. 20, 2003

# **CONTINUING (1.53(b)) UTILITY PATENT APPLICATION TRANSMITTAL**

(Only for continuing applications under 37 CFR 1.53(b))

Attorney Docket No.  
1293.1059CIP2D6

First Named Inventor:  
Jung-wan KO, et al.

Title:

APPARATUS FOR RECORDING AND/OR PLAYING  
BACK CATALOG INFORMATION

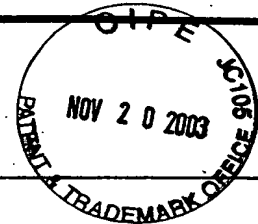
Express Mail Label No.

## **APPLICATION ELEMENTS**

See MPEP chapter 600  
concerning utility  
patent application contents.

ADDRESS TO:

Commissioner for Patents  
Box Patent Application  
PO Box 1450  
Alexandria, VA 22313-1450



1. ☒ Fee Transmittal Form
2. ☒ Specification, Claims & Abstract.... [Total Pages: 15]
3. ☒ Drawing(s) (35 USC 113)..... [Total Sheets: 4] [FIGS. 1-4]
4. ☒ Oath or Declaration..... [Total Pages: 2]
  - a. ☐ Newly executed (original or copy)
  - b. ☒ Copy from a prior application (37 CFR 1.63(d))
5. ☐ This application is filed by fewer than all the inventors named in the prior nonprovisional application.
  - a. ☐ DELETE the following inventor(s) named in the prior nonprovisional application:
  - b. ☐ The inventor(s) to be deleted are set forth on a separate sheet attached hereto.
6. ☐ Verified Statement Claiming Small Entity Status
7. ☒ Incorporation by Reference (usable if Box 4b is checked)  
The entire disclosure of the prior application (identified in Item 21), from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
8. ☒ Applicant claims foreign priority benefit to: Korean Application No. 98-7525 filed March 6, 1998, in the Korean Patent Office
9. ☐ Application Data Sheet. See 37 C.F.R. 1.76
10. ☐ CD-Rom or CD-R in duplicate, large table or Computer Program (Appendix)
11. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. ☐ Computer Readable Form (CRF)
  - b. ☐ Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ paper
  - c. ☐ Statement verifying identity of above copies
12. ☒ Assignment to Samsung Electronics Co., Ltd of Suwon-City, Korea at Reel 011507, Frame 0911  
☒ for publication of assignee information under 37 CFR 1.215(b)

## **ACCOMPANYING APPLICATION PARTS**

13. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
14. ☐ English Translation Document (if applicable)
15. ☒ Foreign priority benefit under 35 U.S.C. §119 is claimed.
  - a. ☒ Certified Copy of Priority Document(s) filed in prior application No. 09/263,816.
  - b. ☐ Certified Copy of Priority Document(s) enclosed.
  - c. ☐ Certified Copy of Priority Document(s) to follow.
16. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
17. ☒ Preliminary Amendment
  - a. ☐ enclosed herewith.
  - b. ☒ incorporated herein (see Box 21).
18. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
19. ☐ Request and Certification for Nonpublication under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent
20. ☐ Other:

**21. CONTINUING APPLICATION**, check appropriate box and supply the requisite information below:

☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP) of prior application No: 09/583,876.  
Prior application information: Examiner: Po Lin Chieu  
Group/Art Unit: 2615

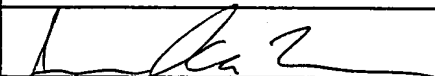
**REMARKS**

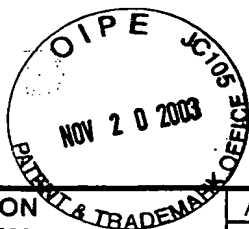
In accordance with the foregoing, the specification has been amended to reference the parent application and to comply with new rules regarding preferred format of the application. Original claims 1 through 27 from the parent application have been cancelled without prejudice or disclaimer, and new claims 28 through 48 have been newly added and renumbered as claims 1 through 21 for the purposes of publication. Moreover, the specification has been amended at paragraph 0024 to correct a typographical error consistent with the correction made in the Preliminary Amendment filed in U.S. Patent Application No. 09/583,876 on May 23, 2003. No new matter is presented.

**22. NEW CORRESPONDENCE ADDRESS CUSTOMER NO. 21,171**

21171

**23. SIGNATURE OF ATTORNEY OR AGENT**

NAME	James G. McEwen	REGISTRATION NO.	41,983
SIGNATURE		DATE	July 24, 2003



S&amp;H Form (2/02)

NEW APPLICATION FEE TRANSMITTAL		Attorney Docket No.	1293.1059CIP2D6		
		Application Number	To be assigned		
		Filing Date	July 24, 2003		
AMOUNT ENCLOSED	\$ 768.00	First Named Inventor	Jung-wan KO, et al.		
<b>FEE CALCULATION</b> (fees effective 10/01/01)					
CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
	TOTAL CLAIMS	21 - 20 =	1	X \$ 18.00 =	\$ 18.00
	INDEPENDENT CLAIMS	2 - 3 =	0	X \$ 84.00 =	0.00
	MULTIPLE DEPENDENT CLAIMS (any number; if applicable)			+ \$280.00 =	
			BASIC FILING FEE		750.00
			Total of above Calculations =		\$ 768.00
Surcharge for late filing fee, Oath or Declaration (37 CFR 1.53(f)) (\$130.00)					
Reduction by 50% for filing by small entity (37 CFR 1.27).					
			TOTAL FILING FEE =		\$ 768.00
Surcharge for filing non-English language application (\$130.00; 37 CFR 1.52(d))					
Recordation of Assignment (\$40.00; 37 CFR 1.21(h))					
			TOTAL FEES DUE =		\$ 768.00
<b>METHOD OF PAYMENT</b>					
<input checked="" type="checkbox"/> Check enclosed as payment.					
<input type="checkbox"/> Charge "TOTAL FEES DUE" to the Deposit Account No. below.					
<input type="checkbox"/> No payment is enclosed and no charges to the Deposit Account are authorized at this time (unless specifically required to obtain a filing date).					
<b>GENERAL AUTHORIZATION</b>					
<input checked="" type="checkbox"/> If the above-noted "AMOUNT ENCLOSED" is not correct, the Commissioner is hereby authorized to credit any overpayment or charge any additional fees necessary to:					
Deposit Account No.		19-3935			
Deposit Account Name		STAAS & HALSEY LLP			
<input checked="" type="checkbox"/> The Commissioner is also authorized to credit any overpayments or charge any additional fees required under 37 CFR 1.16 (filing fees) or 37 CFR 1.17 (processing fees) during the prosecution of this application, including any related application(s) claiming benefit hereof pursuant to 35 USC 120 (e.g., continuations/divisionals/CIPs under 37 CFR 1.53(b) and/or continuations/divisionals/CPAs under 37 CFR 1.53(d)) to maintain pendency hereof or of any such related application.					
SUBMITTED BY: STAAS & HALSEY LLP					
Typed Name	James G. McEwen		Reg. No.	41,983	
Signature			Date	July 24, 2003	

STAAS & HALSEY LLP  
SPECIAL (PTO) ACCOUNT  
1201 NEW YORK AVENUE, N.W.  
SUITE 700  
WASHINGTON, DC 20005

Bank of America

22659

NUMBER

15-120/540

PAY: \*\*SEVEN HUNDRED SIXTY EIGHT AND 00/100 DOLLARS\*\*

DATE

07/24/03

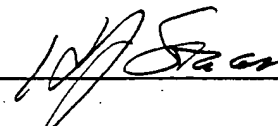
AMOUNT

\*\$768.00\*

TO THE COMMISSIONER OF PATENTS AND TRADEMARKS

ORDER

OF



THIS DOCUMENT CONTAINS HEAT SENSITIVE INK. TOUCH OR PRESS HERE - RED IMAGE DISAPPEARS WITH HEAT.

0022659 054001204 000002619601

0000076800

STAAS & HALSEY LLP  
SPECIAL (PTO) ACCOUNT

07/24/03

22659

22659

MATTER	DESCRIPTION
1293-1059CIP2D6 103	Patent Office Fees for Extra Total Claims
1293-1059CIP2D6 101	Patent Office Fees for Filing Application

AMOUNT

18.00

750.00

768.00

SF13103-1

REORDER FROM YOUR LOCAL SAFEGUARD DISTRIBUTOR, IF UNKNOWN, CALL 800-523-2422

GZSWD030010000 L98SF034459

Safeguard LITHO USA SFSL2M CK7508112M (2/01)

PATENT AND TRADEMARK OFFICE  
13-10-0001  
07-25-2003  
FOR CREDIT TO THE  
U.S. TREASURY

0012 25971

VS DATE 07/28/03  
P06 E C 044 DC

0560011184  
07/28/03  
31 1000000000

Please Date Stamp and return

Continuing (1.53(b)) Utility Patent Application Tmt., Fee Tmt. Form, Spec., Claims, Abstract (15 pp.), Drawings (FIGS. 1-4), copy of executed Combined Declaration/Power of Attorney, IDS w/Form PTO-1449 and Attachment 1(f) & check for \$768.00

APPLICANT(S): Jung-wan KO, et al.

SERIAL NO: To be assigned

CONFIRMATION NO.

TITLE: APPARATUS FOR RECORDING AND/OR PLAYING BACK CATALOG INFORMATION

FILING DATE: July 24, 2003

DOCKET NO: 1293.1059CIP2D6/JGM:rkc

DUE DATE: N/A



26